



PATENT  
ATTORNEY DOCKET NO. 046700-5044  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE      APR X 5 2001

In re Application of: )

Christopher J. SMITH )

Application No.: 09/712,302 )

Filed: November 14, 2000 )

For: METHOD AND APPARATUS TO )  
PERFORM BUY VERSUS LEASING )  
TRANSFORMATIONAL BIDDING )

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

March 28, 2001  
Pittsburgh, PA 15219

Technology Center 2100

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is a Preliminary Amendment in the above-identified application.
2. Additional papers enclosed:

- ☐ Drawings:      ☐ Formal      ☐ Informal (Corrections)
- ☐ Information Disclosure Statement
- ☐ Form UNITED STATES PATENT AND TRADEMARK OFFICE-1449, \_\_\_ references included
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

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3. **EXTENSION OF TIME**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)-(d), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 380.00	\$ 190.00
<input type="checkbox"/> three months	\$ 870.00	\$ 435.00
<input type="checkbox"/> four months	\$ 1,360.00	\$ 680.00

- ☐ Extension of time fee due with this request: \$ 0.00
- ☐ If an extension of time is required, please consider this a Petition therefor.
- ☐ An extension for \_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_ is deducted from the total fee due for the total months of extension now requested.

4. **Fee Calculation**

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims	30	minus	30	0	___ x \$18.00 each=	+ \$ 0.00
Independent Claims	2	minus	3	0	___ x \$80.00 each=	+ \$ 0.00
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$ 270.00	+ \$ 0.00
SUB-TOTAL =						\$ 0.00
Reduction by ½ for filing by a small entity						- \$ 0.00
TOTAL FEE =						\$0.00

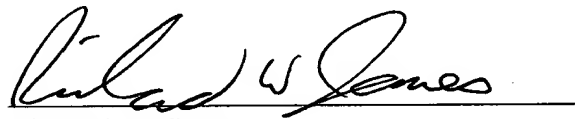
5. **Fee Payment**

- ☒ Applicant believes that no fee is required at this time. However, the Commissioner is hereby authorized to charge any other fees including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.
- ☐ A check in the amount of \$0.00 representing fee for \_\_\_ additional independent claims and \_\_\_ additional claims. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS [P]LLP**

By:



Richard W. James  
Registration No. 43,690

Dated: March 28, 2001

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Christopher J. SMITH

Serial No.: 09/712,302

Filed: November 14, 2000

For: "METHOD AND APPARATUS TO PERFORM BUY VERSUS  
LEASING TRANSFORMATIONAL BIDDING"

Box Non-Fee Amendment

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EF148246717US

Date of Deposit March 28, 2001

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I hereby state the following papers are attached hereto:

Preliminary Amendment Transmittal Form (3 pages) and Preliminary Amendment (2 pages)

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dawna E. Mozes

Typed or printed name of person mailing paper

Dawna E. Mozes

Signature of person mailing paper